

AIDG Notification (Important Notes to Read), 8 August 2025

HKTR Administration and Interface Development Guide (Reporting Service) version	AIDG ISO 20022 v1.0.3
Publication Date	8 Aug 2025
Effective Date	29 Sep 2025
System Supported Product Scope	All 5 asset classes: IR, FX, EQ, CD, CM
<p><i>For the list of asset classes supported / to be supported in HKTR, please refer to the "OTC Derivatives Trade Repository Reporting Service Reference Manual" Annex 1 published by the HKMA for more information</i></p> <p>Documents Involved / version:</p> <p>HKTR Administration and Interface Development Guide</p> <p>Appendix A Usage Guidelines ISO20022</p> <p>Appendix B List of Supported Data Elements and Related Validations ISO20022</p> <p>Appendix C Trade Data Model ISO20022</p> <p>HKTR AIDG ISO20022</p>	AIDG ISO 20022 v1.0.3
Important Notes and Remarks	<p>Appendix A and B</p> <ul style="list-style-type: none"> - Updated the UG to ensure that the "Other payment type" (<PmtTp>) must be specified if the relevant data field(s) under <OthrPmt> is populated. - Removed the version number from the UG name in the Xpath of the data field "Remarks" - Updated the format and allowable values to include the 'sign' Xpath to support the reporting of negative value for the following data fields: <ul style="list-style-type: none"> • "Price schedule - Price" • "Strike price schedule - Strike price" - Updated the format and allowable values for following data fields to support the reporting of special characters: <ul style="list-style-type: none"> • "Basket constituent identifier" • "Underlier ID (OTHER)" • "Underlier ID (OTHER) source" <p>Appendix B</p> <p>Reporting entities are invited to take note of the following validation rules, which have been added, updated, or removed.</p> <ul style="list-style-type: none"> - Updated: iso-doc-vr-0012; iso-doc-vr-0097; iso-doc-vr-0101; iso-cor-vr-0003, iso-flv-vr-0017 - Added: iso-doc-vr-0143
Hong Kong Trade Repository Administration and Interface Development Guide-Reporting Service ("Reporting Service AIDG") Annex –Supplementary Reporting Instruction (SRI) for OTC Derivative Transaction	<p>This document provides a summary of the general requirements for reporting transactions to the HKTR (including clarification of important concepts) and specific instructions for reporting particular types of transactions under different circumstances. It is important that this document should be read in conjunction with the parts of the SFO relating to the mandatory reporting of OTC derivative transactions, the Reporting Rules and the HKTR reporting manuals.</p> <p>Reporting Party should always refer to the latest version published, please refer to the HKTR Info Page website.</p>

Change Log from AIDG (ISO 20022) version 1.0.2 to 1.0.3

No	Document Name	Section	Change Description This change log states the update on HKTR technical specification from AIDG v1.0 to v1.0.1. It mainly includes the following:	Change Description This change log states the update on HKTR technical specification from AIDG v1.0.1 to v1.0.2. It mainly includes the following:	Change Description This change log states the update on HKTR technical specification from AIDG v1.0.2 to v1.0.3. It mainly includes the following:
1	HKTR_AIDG_ISO20022 (PDF)		N/A	In Section 4.3, removed the available character sets for Party ID - SWIFTBIC.	N/A
2	Appendix_A_Usage_Guidelines_ISO20022	auth.030.001	For the data elements "Name of the floating rate - Leg 1" & "Name of the floating rate - Leg 2", the format and allowable value is updated from varchar(50) to varchar(350)	N/A	Updated the UG to ensure that the "Other payment type" (<PmtTp>) must be specified if the relevant data field(s) under <OthrPmt> is populated. Removed the version number from the UG name in the Xpath of the data field "Remarks"
3		auth.031.001	Added "TR Action Reference" and "TR Trade Reference"	N/A	Removed the version number from the UG name
4		auth.108.001	N/A	Event date (in auth.108 message) becomes a system mandatory field.	N/A
5		head.001.001	N/A	N/A	N/A
6		Ref - Coding schemes	Added "XCG"	N/A	Added "XAD"
7	Appendix_B_List_of_Supported_Data_Elements_and_Related_Validations_ISO20022	Message & Validations	For the data elements "Name of the floating rate - Leg 1" & "Name of the floating rate - Leg 2", the format and allowable value is updated from varchar(50) to varchar(350) For below data fields, the value "ODMD" is used to represent OnDemand. • Quantity frequency - Leg 1 • Quantity frequency - Leg 2 Removed the below redundant system Validations, Validation Rule IDs, Error codes and corresponding Error Descriptions as overlapped with the XML schema validation or other validation rules: • iso-doc-vr-0017; iso-doc-vr-0019; iso-doc-vr-0045; iso-doc-vr-0053; iso-doc-vr-0066; iso-doc-vr-0067; iso-doc-vr-0074; iso-doc-vr-0075; iso-doc-vr-0082; iso-doc-vr-0083; iso-doc-vr-0093; iso-doc-vr-0094; iso-doc-vr-0118	Updated description of "Submitter Identifier" in Xpath column.	Updated the Rule and Error Descriptions: • iso-doc-vr-0012; iso-doc-vr-0097; iso-doc-vr-0101 Updated the format and allowable values to include the 'sign' Xpath to support the reporting of negative value for the following data fields: • "Price schedule - Price" • "Strike price schedule - Strike price" Updated the format and allowable values for following data fields to support the reporting of special characters: • "Basket constituent identifier" • "Underlier ID (OTHER)" • "Underlier ID (OTHER) source" Removed the version number from the UG name in the Xpath of the data field "Remarks"
			Updated the Error Descriptions: • iso-doc-vr-0003		
			Updated following validation to support for CORR and REVI action types: • iso-doc-vr-0098 Added below Validations, Validation Rule IDs, Error codes and corresponding Error Descriptions: • iso-doc-vr-0139		Added below Validations, Validation Rule IDs, Error codes and corresponding Error Descriptions: • iso-doc-vr-0140, iso-doc-vr-0141, iso-doc-vr-0142
8		Header & Validations	N/A	N/A	N/A
9		Other Validations	Updated the Error Descriptions: • iso-flv-vr-0005	N/A	Updated the Error Descriptions: • iso-cor-vr-0003, iso-flv-vr-0017

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